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# Subgraph Retrieval Enhanced by Graph-Text Alignment for Commonsense Question Answering

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# Background—Commonsense Question Answering (CSQA)

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- **CSQA** is a crucial task in natural language understanding that requires reasoning according to commonsense knowledge
- Existing CSQA datasets generally adopt **multiple-choice questions** to evaluate the model's performance

Where is the capital of China?

- A. London.
- B. Beijing.**
- C. New York
- D. Shanghai.
- E. Guangzhou.



# Background—Commonsense Question Answering (CSQA)

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- **Challenge:** It is difficult to learn commonsense knowledge solely from pre-training text corpora, as it is rarely expressed explicitly in natural language
- **Knowledge Graph:** Knowledge graphs are more efficient in representing commonsense and can aid PLMs in comprehending QA pairs and enhancing reasoning capabilities
- **Extracting-and-Modeling Paradigm:** Existing KG-augmented works primarily follow a paradigm that first extracts relevant subgraphs or paths related to a given question based on pre-defined rules, and then models the extracted structural knowledge

# Background—Limitations of Previous Methods

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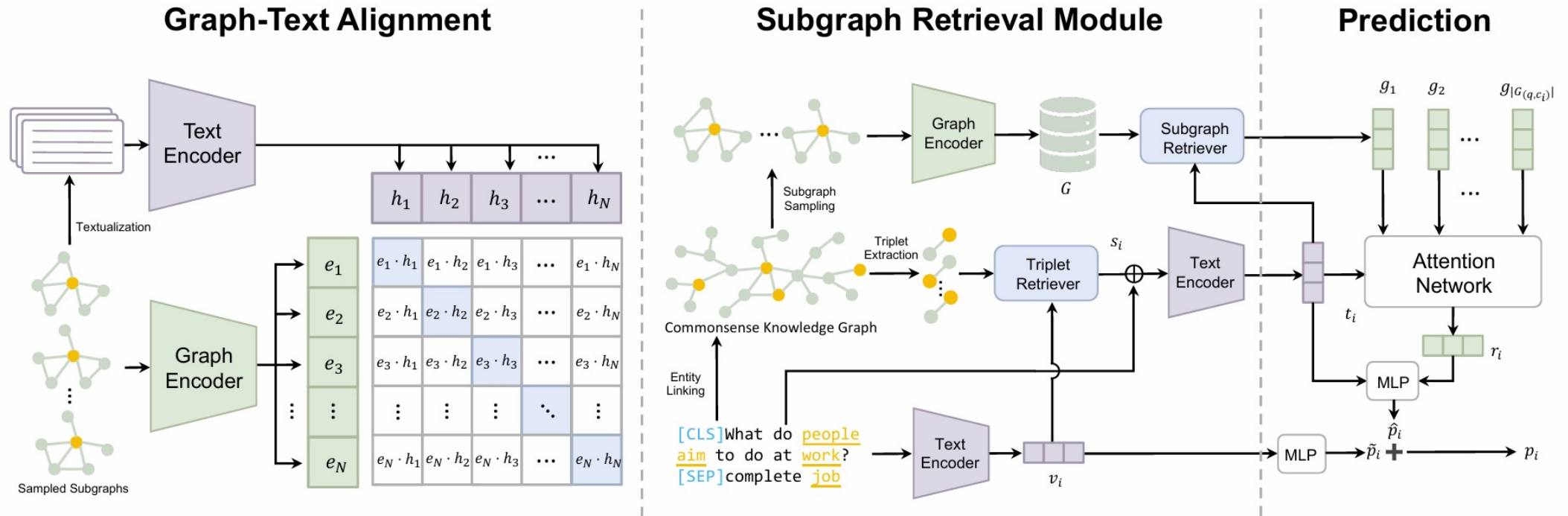
- **Subgraph Quality:** The subgraph's quality suffers when retrieved through simple string or semantic matching, posing limitations for subsequent operations
- **Graph-Text Misalignment:** The misalignment between graph and text encoders presents a challenge for PLMs to internalize the knowledge contained in the acquired subgraph, leading to reduced task performance
- **Uncontrolled Subgraph Size:** To obtain sufficient relevant knowledge, the number of nodes in the subgraph will expand dramatically with the increase of hop count, raising the burden of the model

# Motivation

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- **Subgraph Vector Database:** To address the limitations of rule-based subgraph extraction methods that may overlook critical nodes and result in uncontrollable subgraph size
- **BFS-style Subgraph Sampling:** To ensure complete neighbor information for each node and avoid the blockage of the message-passing mechanism of GNNs caused by pruning edges linked to marginal nodes
- **Bidirectional Contrastive Learning:** To overcome the challenge of misalignment between graph and text encoders, which undermines the effectiveness of knowledge fusion and impacts task performance

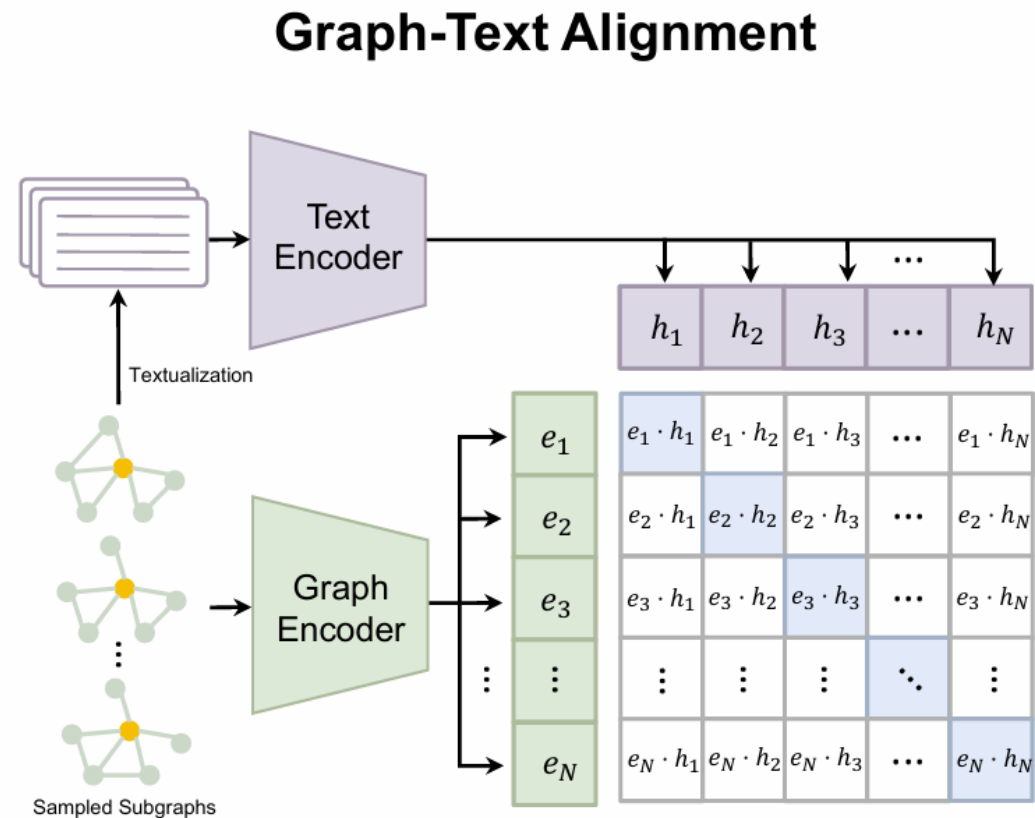
# Model Architecture



- A bidirectional contrastive method is proposed to align the semantic space of graph and text encoders
- Transform the knowledge graph into a subgraph vector database
- Introduce a query enhancement strategy for better subgraph retrieval
- All the information retrieved is combined by an attention mechanism to bolster the reasoning ability of PLMs

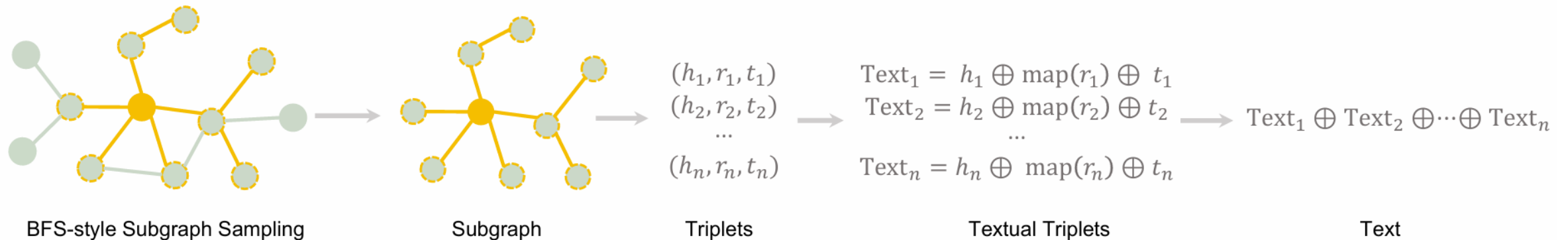
# Graph-Text Alignment

- **Motivation:** Coordinate the embedding spaces of graph and text encoders and fully harness the respective strengths of text and KG
- **Method:**
  - Generate training graph-text pairs with equivalent semantics
  - Employ a bidirectional contrastive learning method to train the encoders of both modalities



# Construction of Graph-Text Pairs

- A BFS-style sampling strategy for subgraph construction, which initiates from the central node and proceeds to sample neighbors hop-by-hop
- Textualize the subgraphs to construct synonymous text descriptions
  - Convert all relation links into triplet descriptions: Map each relation type to a relation template and concatenate the head concept, relation template, and tail concept as the description of each triplet
  - Concatenate all descriptions to compose the final description





# Graph-Text Contrastive Learning

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- GNN and PLM are utilized to encode the knowledge subgraphs and natural language descriptions to obtain the corresponding representation

$$\tilde{e}_i = \text{Pool}_G(\text{GNN}(\mathcal{G}_i)),$$

$$\tilde{h}_i = \text{Pool}_T(\text{PLM}(s_i)),$$

- To project the knowledge subgraph embedding and text embedding into the same semantic space, two linear projection layers are designed as follows:

$$e_i = \mathbf{W}_G \tilde{e}_i + \mathbf{b}_G,$$

$$h_i = \mathbf{W}_T \tilde{h}_i + \mathbf{b}_T,$$

- Employ InfoNCE with in-batch negative sampling to align representations of two modalities bidirectionally

$$\mathcal{L}_{G2T} = -\frac{1}{N} \sum_{i=1}^N \log \frac{\exp(\text{sim}(\mathbf{e}_i, \mathbf{h}_i)/\tau)}{\sum_{j=1}^N \exp(\text{sim}(\mathbf{e}_i, \mathbf{h}_j)/\tau)}$$

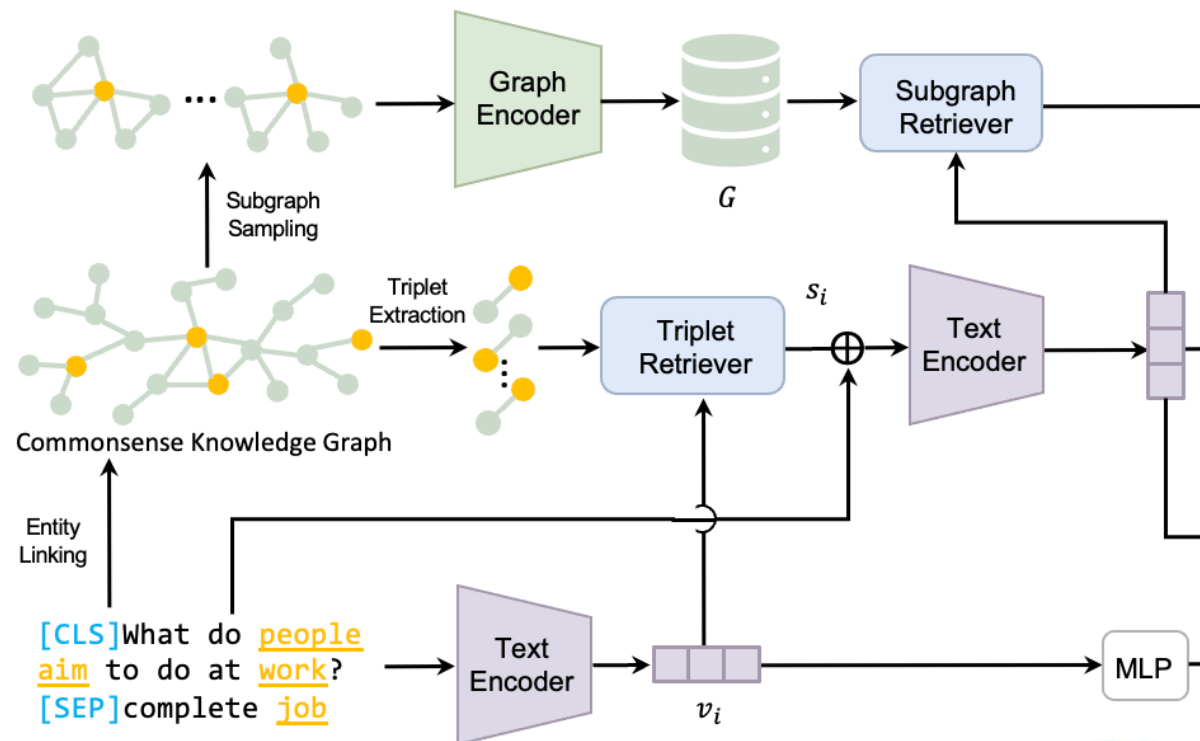
$$\mathcal{L}_{T2G} = -\frac{1}{N} \sum_{i=1}^N \log \frac{\exp(\text{sim}(\mathbf{h}_i, \mathbf{e}_i)/\tau)}{\sum_{j=1}^N \exp(\text{sim}(\mathbf{h}_i, \mathbf{e}_j)/\tau)}$$

$$\mathcal{L}_{GT} = \frac{1}{2}(\mathcal{L}_{G2T} + \mathcal{L}_{T2G})$$

# Subgraph Retrieval Module

- Subgraph vector database construction
- Query enhancement
- Subgraph retrieval

## Subgraph Retrieval Module



# Database Construction

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- **BFS-style Sampling:** We adopt a BFS-style subgraph sampling strategy which is the same as the graph-text pairs construction, leveraging the analogy between BFS and the message-passing mechanism of GNNs
- **Subgraph Vector:** For each subgraph, we obtain its graph embedding  $e_i$  and text embedding  $h_i$ , and combine them to form the subgraph vector:

$$g_i = \frac{1}{2} \left( \frac{\|h_i\|}{\|e_i\|} e_i + h_i \right)$$

- **Vector Database:** We construct a subgraph vector database  $\mathbf{G} = \{g_i\}_{i=1}^{|G|}$  with all subgraph vectors

# Query Enhancement

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- **Challenge:** Direct use of Q-A pair embeddings as queries may not align well with the pre-trained corpus, affecting retrieval accuracy
- **Enhancement:** Retrieve question-related triplets from the KG and concatenate them with Q-A pairs
- **Entity Linking:** Apply entity linking to find entities in the question and options, and retrieve triplets containing these entities
- **Concatenation:** Concatenate the retrieved fact triplets with the question and options, termed as  $s_i$
- **Encoding:** Use the aligned PLM to encode  $s_i$  into  $t_i$ , which serves as the enhanced query for subgraph retrieval

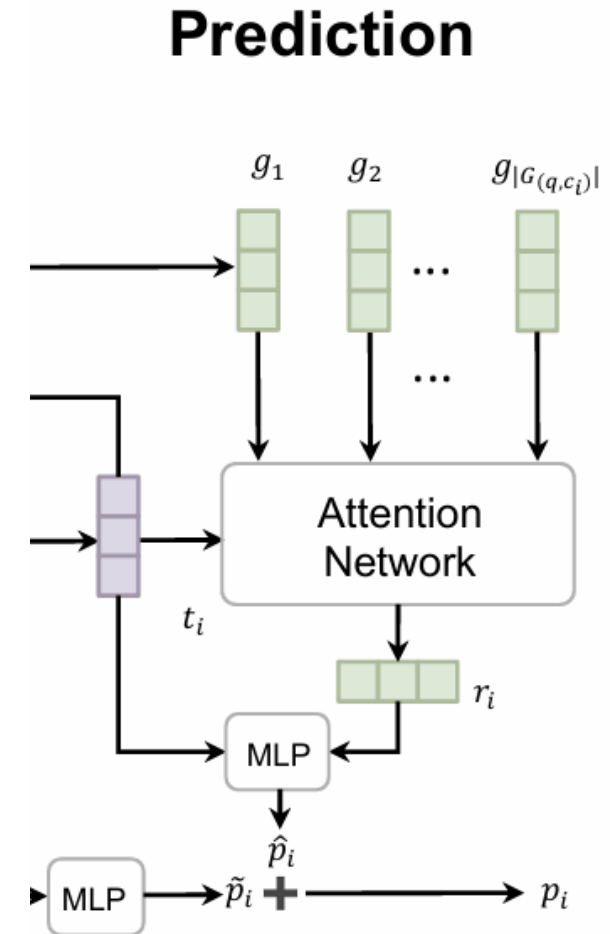
# Subgraph Retrieval

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- **Retrieval:** With the enhanced query  $t_i$ , we retrieve relevant subgraph vectors from the subgraph vector database  $G$  based on cosine similarity
- **Top- $k$ :** We recall the top- $k$  subgraph vectors with the highest similarities, denoted as  $G_{q,c_i}$

# Prediction

- **Integration:** Integrate the retrieved subgraph vectors through multi-head attention with  $t_i$  as the query
- **Score Prediction:** Add the integrated representation and the enhanced query, and feed them into a linear layer to predict the score of the option
- **Direct Inference:** Since some questions are expected to be answered based solely on the question context, we also encode the Q-A pair directly to infer the score without additional knowledge
- **Final Score:** The two scores are weighted and summed to yield the final score



# Experimental Setup

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## ➤ Datasets

- ✓ CommonsenseQA: 5-way multiple-choice QA dataset, including the official split and the in-house split
- ✓ OpenBookQA: a 4-choice dataset to evaluate the science commonsense knowledge
- ✓ SocialIQA: a 3-choice dataset to evaluate the understanding of commonsense social knowledge
- ✓ PIQA: a 2-choice QA dataset regarding physical commonsense
- ✓ RiddleSenseQA: a 5-choice QA dataset about commonsense riddles

Task	Train	Dev	Test
CommonsenseQA official split	9,741	1,221	1,140
CommonsenseQA in-house split	8,500	1,221	1,241
OpenBookQA	4,957	500	500
SocialIQA	33,410	1,954	-
PIQA	16,113	1,838	-
RiddleSenseQA	3,510	1,021	-

## ➤ Metrics: Accuracy

# Comparison with baselines

Methods	CommonsenseQA		OpenBookQA	
	IHdev-Acc (%)	IHtest-Acc (%)	RoBERTa-Large (%)	AristoRoBERTa (%)
Fine-tuned LMs	73.07 ( $\pm 0.45$ )	68.69 ( $\pm 0.56$ )	64.80 ( $\pm 2.37$ )	78.40 ( $\pm 1.64$ )
+ RN	74.57 ( $\pm 0.91$ )	69.08 ( $\pm 0.21$ )	65.20 ( $\pm 1.18$ )	75.35 ( $\pm 1.39$ )
+ RGCN	72.69 ( $\pm 0.19$ )	68.41 ( $\pm 0.66$ )	62.45 ( $\pm 1.57$ )	74.60 ( $\pm 2.53$ )
+ GconAttn	72.61 ( $\pm 0.39$ )	68.59 ( $\pm 0.96$ )	64.75 ( $\pm 1.48$ )	71.80 ( $\pm 1.21$ )
+ MHGRN	74.45 ( $\pm 0.10$ )	71.11 ( $\pm 0.81$ )	66.85 ( $\pm 1.19$ )	80.60
+ QA-GNN	76.54 ( $\pm 0.21$ )	73.41 ( $\pm 0.92$ )	67.80 ( $\pm 2.75$ )	82.77 ( $\pm 1.56$ )
+ DGRN	78.20	74.00	69.60	84.10
+ GreaseLM	78.50 ( $\pm 0.50$ )	74.20 ( $\pm 0.40$ )	68.80 ( $\pm 1.75$ )	84.80
+ JointLK	77.88 ( $\pm 0.25$ )	74.43 ( $\pm 0.83$ )	70.34 ( $\pm 0.75$ )	84.92 ( $\pm 1.07$ )
+ GSC	79.11 ( $\pm 0.22$ )	74.48 ( $\pm 0.41$ )	70.33 ( $\pm 0.81$ )	86.67 ( $\pm 0.46$ )
+ SAFE	76.93 ( $\pm 0.37$ )	74.03 ( $\pm 0.43$ )	69.20	<u>87.13</u>
+ HamQA	76.88	73.91	71.12	84.59
+ DRAGON*	-	<b>76.00</b>	72.00	-
+ DRAGON (w/o MLM)*	-	73.80	66.40	-
+ DHLK*	<u>79.39</u> ( $\pm 0.24$ )	74.68 ( $\pm 0.26$ )	<u>72.20</u> ( $\pm 0.40$ )	86.00 ( $\pm 0.79$ )
+ SEPTA (Ours)	<b>79.61</b> ( $\pm 0.17$ )	<u>74.78</u> ( $\pm 0.23$ )	<b>72.33</b> ( $\pm 0.35$ )	<b>87.37</b> ( $\pm 0.51$ )

- Our method can contribute performance gains to LMs
- SEPTA outperforms all baselines without additional corpus on both datasets
- Compared to baselines incorporating additional corpus, our method also achieves comparable performance



# Leaderboard

Methods	Test-Acc (%)	Methods	Test-Acc (%)
RoBERTa [17]	72.1	Careful Selection [1]	72.0
RoBERTa+FreeLB	72.2	AristoRoBERTa [6]	77.8
RoBERTa+HyKAS	73.2	KF+SIR	80.0
RoBERTa+KE	73.3	AristoRoBERTa+PG [30]	80.2
RoBERTa+KEDGN	74.4	AristoRoBERTa+MHGRN [9]	80.6
RoBERTa+MHGRN [9]	75.4	AristoRoBERTa+QA-GNN [34]	82.8
RoBERTa+QA-GNN [34]	76.1	AristoRoBERTa+GreaseLM [36]	84.8
RoBERTa+GSC [29]	76.2	AristoRoBERTa+GSC [29]	87.4
Albert	73.5	AristoRoBERTa+MVP-Tuning [11]	87.6
ALBERT+Path Generator [30]	75.6	ALBERT + KB	81.0
ALBERT+HGN [9]	77.3	T5	83.2
UnifiedQA (11B) [14]	<b>79.1</b>	UnifiedQA (11B) [14]	87.2
RoBERTa+SEPTA (Ours)	76.6	AristoRoBERTa+SEPTA (Ours)	<b>87.8</b>

- Evaluate SEPTA on the official CommonsenseQA and OpenBookQA leaderboards
- Our method achieves results surpassing all baselines based on the identical PLM
- Exhibit comparative performance compared with methods with larger-scale parameters (e.g., UnifiedQA)

# Other Datasets

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Methods	SocialIQA	PIQA	RiddleSenseQA
RoBERTa-Large	78.25	77.53	60.72
+ GconAttn	78.86	78.24	61.77
+ RN	78.45	76.88	62.17
+ MHGRN	78.11	77.15	63.27
+ QA-GNN	78.10	78.24	63.39
+ GreaseLM	77.89	78.02	63.88
+ GSC	78.61	78.40	64.07
+ SAFE	78.86	79.43	63.78
+ SEPTA (Ours)	<b>79.21</b>	<b>80.85</b>	<b>67.62</b>

- SEPTA consistently achieves superior performance
- This observation underscores the overall effectiveness of SEPTA in addressing various commonsense reasoning datasets or tasks, demonstrating a unified methodology

# Ablation Study

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Ablation	CommonsenseQA	OpenBookQA
SEPTA	74.78	72.33
w/o alignment	69.83 (-4.95)	67.20 (-5.13)
w/o subgraph	72.34 (-2.44)	70.23 (-2.10)
w/o triplets	71.25 (-3.53)	69.67 (-2.66)
$\lambda = 1.0$	74.13 (-0.65)	70.47 (-1.86)

- Four components are all crucial for SEPTA, and removing any part will result in a decrease in performance
- The performance drops the most significantly when we remove the graph-text alignment
- Removing either fact triplets or subgraph vectors will affect the performance
- Only using knowledge-enhanced representations for predictions (i.e.  $\lambda=1.0$ ) cannot achieve optimal results

# Low-Resource Setting

Methods	CommonsenseQA						OpenBookQA					
	5%	10%	20%	50%	80%	100%	5%	10%	20%	50%	80%	100%
RoBERTa-large	29.66	42.84	58.47	66.13	68.47	68.69	37.00	39.4	41.47	53.07	57.93	64.8
+ RGCN	24.41	43.75	59.44	66.07	68.33	68.41	38.67	37.53	43.67	56.33	63.73	62.45
+ GconAttn	21.92	49.83	60.09	66.93	69.14	68.59	38.60	36.13	43.93	50.87	57.87	64.75
+ RN	23.77	34.09	59.90	65.62	67.37	69.08	33.73	35.93	41.40	49.47	59.00	65.20
+ MHGRN	29.01	32.02	50.23	68.09	70.83	71.11	38.00	36.47	39.73	55.73	55.00	66.85
+ QA-GNN	32.95	37.77	50.15	69.33	70.99	73.41	33.53	35.07	42.40	54.53	52.47	67.80
+ GreaseLM	22.80	56.16	63.09	70.56	73.41	74.20	39.00	39.60	42.20	57.87	65.13	68.80
+ GSC	31.02	35.07	65.83	70.94	73.82	74.48	29.60	41.80	42.40	58.03	65.97	70.33
+ SAFE	36.45	56.51	65.16	70.72	73.22	74.03	38.80	41.20	44.93	58.33	65.60	69.20
+ SEPTA(Ours)	<b>50.69</b>	<b>62.37</b>	<b>68.09</b>	<b>71.80</b>	<b>74.05</b>	<b>74.78</b>	<b>45.63</b>	<b>54.80</b>	<b>58.10</b>	<b>66.57</b>	<b>68.30</b>	<b>72.33</b>

- SEPTA achieves promising performance in all settings
- It exhibits a trend where the performance improvement relative to other baselines is more significant with fewer training

# Conclusion

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- We propose a novel framework called Subgraph REtrieval Enhanced by GraPh-Text Alignment (SEPTA) for commonsense question answering (CSQA)
- SEPTA reframes the CSQA task as a subgraph vector retrieval problem and introduces a graph-text alignment method to enhance retrieval accuracy and facilitate knowledge fusion for prediction
- Extensive experiments on five CSQA datasets demonstrate the effectiveness and robustness of the SEPTA framework, outperforming SOTA approaches

# Future Works

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- **Pre-training Tasks:** Explore more effective pre-training tasks for semantic alignment between graph and text representations
- **Larger Language Models:** Apply the SEPTA framework to larger language models if sufficient computational resources are available
- **Other Tasks:** Extend the SEPTA framework to other related tasks, such as node classification and link prediction on text-attributed graphs

# GraphRAG Survey

## Graph Retrieval-Augmented Generation: A Survey

BOCI PENG\*, School of Intelligence Science and Technology, Peking University, China

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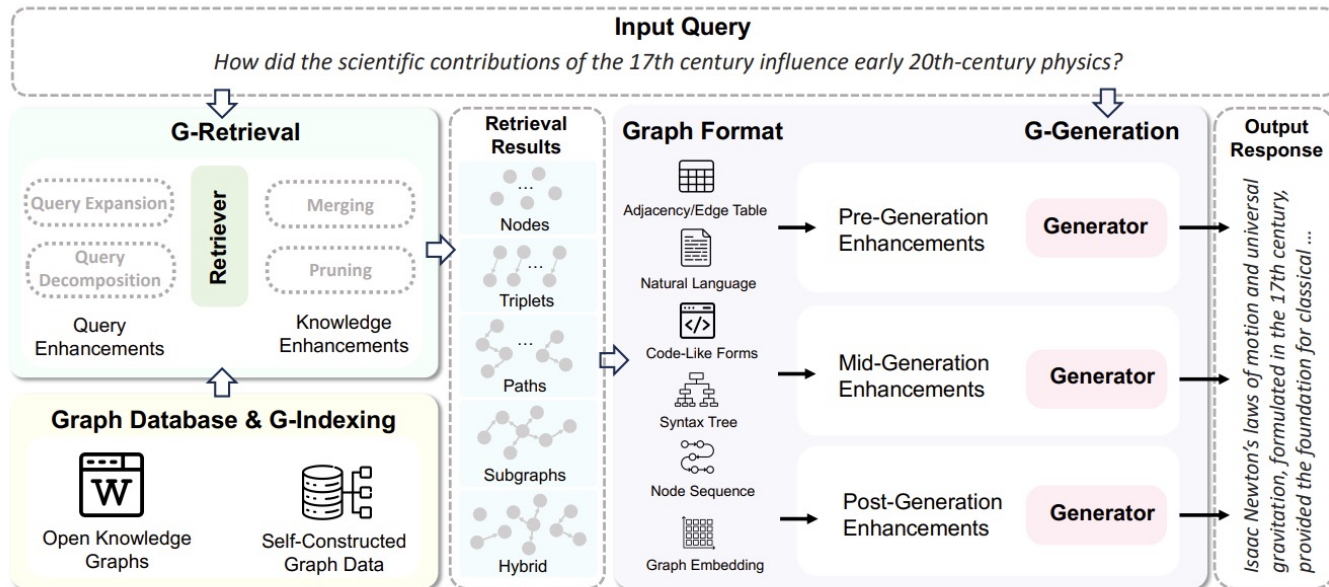
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url: <https://arxiv.org/abs/2408.08921>



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